

Techniques for Video Compression and Analysis (5LSE0), Module 03 - B

MPEG-1 and MPEG-2 standard

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slides version 1.0

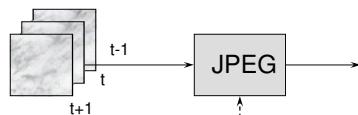
5LSE0 - Mod 03 - B Part 1

Introduction to Motion-Compensated (Interframe Hybrid) DCT Coding

Intraframe Coding

* Encode frame-by-frame, disregarding all temporal information

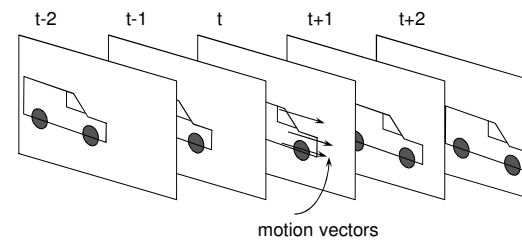
- Example: Motion-JPEG (AVI compressed)



- Easy bit allocation per frame
- Random access is possible
- Robust to transmission/decompression problems
- **But ...** Moderate compression capabilities

Interframe Coding of temporal differences

* Encode differences between frames (temporal DPCM); consider *motion* of parts of the frames



Complexity is between intra-frame and 3-D coding

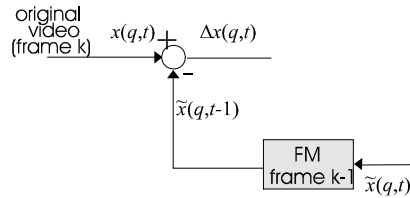
- Can always fall back onto intra-frame coding
- Moderate delay

Principles of Hybrid Coding – (1)

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* Basic idea

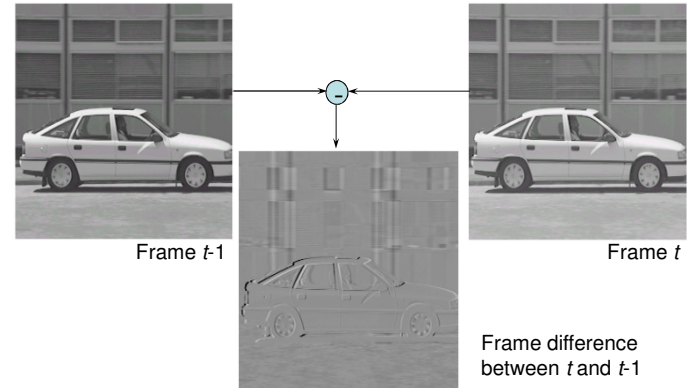
- Predict current frame on basis of (coded) previous one
- Transmit only quantized prediction differences
- Usually done on 8x8 blocks



- Prediction difference: $\Delta x(q, t) = x(q, t) - \tilde{x}(q, t - 1)$

Example Frame Differences

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Temporal Prediction Gain

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- * Like normal DPCM, assess the effect of interframe prediction by the prediction gain

$$G_p = \frac{\text{variance of the original frame}}{\text{variance of the prediction difference}}$$

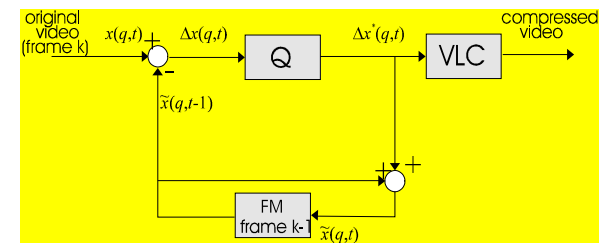
Frame number	σ_x^2	$\sigma_{\Delta x}^2$	G_p
1	1888.2	282.0	6.7
2	1885.9	225.7	8.4
3	1873.6	265.7	7.1
4	1884.6	329.1	5.7
5	1889.4	342.6	5.5
6	1901.1	368.9	5.2

around 1.2 - 1.5 bit

Principles of Hybrid Coding – (2)

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- * Complete temp. DPCM system (1-st ord. predictor, $h_1=1$)



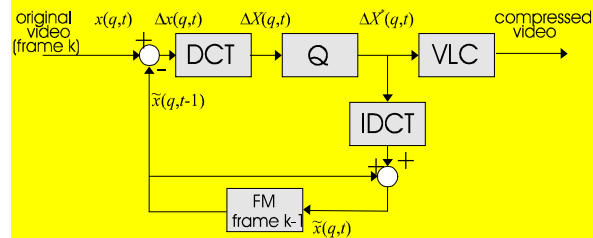
- * Quantized prediction difference: $\Delta x^*(q, t) = Q[\Delta x(q, t)]$

- * Reconstruction: $\tilde{x}(q, t) = \Delta x^*(q, t) + \tilde{x}(q, t - 1)$

Principles of Hybrid Coding – (3)

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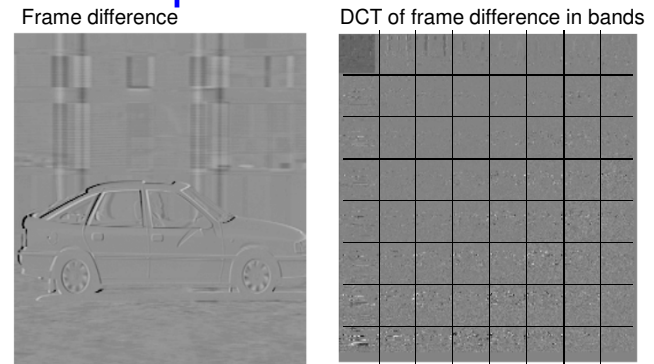
- * Prediction difference *locally* still contains a lot of *spatial* correlation and lots of zeroes: **Decorrelate via 8x8 DCT**



- * **Quantization in DCT domain** $\Delta X^*(q, t) = Q[\Delta X(q, t)]$
- * **Reconstruction** $\tilde{x}(q, t) = \text{DCT}^{-1}(\Delta X^*(q, t)) + \tilde{x}(q, t - 1)$

Example: DCT of differences

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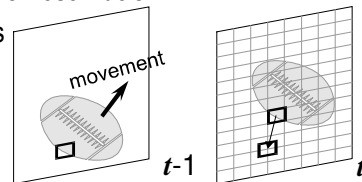


- Higher DCT coefficients contain more variance than lower ones
- Taking frame differences removes spatial low-frequency components

Motion Compensation

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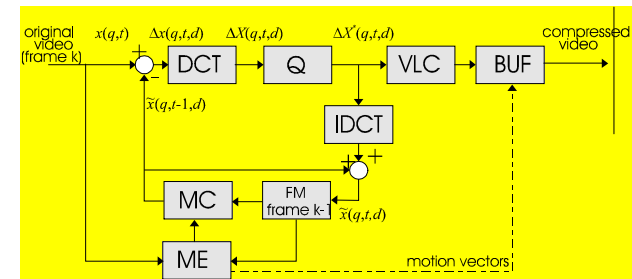
- * Part of the spatial correlation is due to **unsuccessful temporal prediction**
 - Unpredictable information (occluded regions)
 - Moving spatial information (object movement)
- * Find for each block $x(q, t)$ a corresponding block in encoded frame $t-1$: **Motion estimation**
 - Difference in positions is called **motion** or **displacement** vector



Principles of Hybrid Coding – (4)

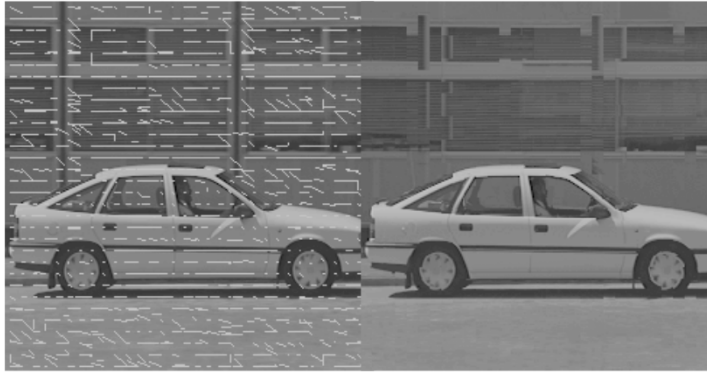
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- * Form difference between $x(q, t)$ and the corresponding block found in encoded frame $t-1$: **Motion-Comp. Predict.**



- Motion-compensated prediction difference
- Overhead: 1 motion v. / block $\Delta x(q, t, d) = x(q, t) - \tilde{x}(q, t - 1, d)$

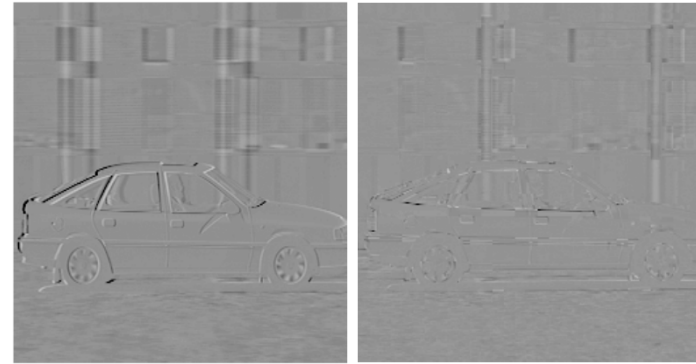
Example – Motion-Compens. Prediction 13



Frame with motion vectors

Motion-compensated prediction

Example Motion-Compens. Predict. 14



Frame difference

Motion-compensated frame difference

Motion Comp. / Prediction Gain 15

Frame number	No motion compensation			Motion compensated prediction	
	σ_x^2	$\sigma_{\Delta x}^2$	G_P	$\sigma_{\Delta x}^2$	G_P
1	1888.2	282.0	6.7	73.5	25.7
2	1885.9	225.7	8.4	86.8	21.7
3	1873.6	265.7	7.1	89.5	20.9
4	1884.6	329.1	5.7	91.9	20.5
5	1889.4	342.6	5.5	96.0	19.7
6	1901.1	368.9	5.2	99.5	19.1

around 0.2 - 0.4 bit

5LSE0 - Mod 03 - B Part 2

Introduction to MPEG-1/2 System, Coding Concept & Architecture

MPEG System / Standardization

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- * **MPEG = Motion Picture Experts Group**
 - joint standardization of ISO and IEC
 - cooperation with CCITT
- * **Objective (initial, MPEG-1)**
 - definition of a generic standard for coding of digital video and associated audio and data for digital storage media (DSM)
- * **Objective (MPEG-2)**
 - a generic standard for various applications, such as DSM, television broadcasting, and (audiovisual) communication
- * **„Generic: wide range of bit rates, variable resolution and quality, flexible for different services**

MPEG System / Milestones – (1)

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- * **MPEG-1**
 - Generic coding of moving pictures and associated audio at a throughput rate of up to 1.5 Mbit/s
 - Input is SIF format (half-resolution standard DTV broadcast)
 - Related standard H.261 for audiovisual services at px64 kbit/s
 - Final standard described in ISO-11172
 - Applications areas: CD-i, Video CD, video on PCs
- * **MPEG-2**
 - More wide application area than MPEG-1
 - Extensions for interlaced video signals (TV, VCR, DTV, etc.)
 - Bit rates up to 100 Mbit/s (including HDTV, profess. Broadcasting)

MPEG System / Milestones – (2)

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- * **MPEG-2 (continued)**
 - final standard described in ISO 11383
 - different descriptions for Audio, Systems
 - draft of standard November 1993, broadly accepted from 1995 ...
- * **MPEG-4**
 - advanced extensions of MPEG-2 with respect to block coding
 - New: **model-based** or **object-oriented** coding
 - very low bit rates (e.g. 10 kbit/s ... 100 kbit/s), draft in 1998-99
 - Later extended to MPEG-4 AVC / H.264 for HDTV on Bluray Disk
- * **MPEG-7**
 - Archiving video sequences, database management, std. set 2001

MPEG System / Application bit rates

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- * **MPEG-1 bit rates**
 - Video decoder rates up to 1.856 Mbit/s (telecomm. channel 31 x 64 kbit/s = 1.984 Mbit/s - 0.128 Mbit/s audio)
 - CD-i, Video CD have bit rates of 1.2 Mbit/s (audio about 200 kbit/s)
- * **MPEG-2 bit rates**
 - 4-5 Mbit/s PAL TV quality
 - 6-9 Mbit/s CCIR-601 component video quality (approach studio quality)
 - 19 Mbit/s ATV standard for HDTV in the USA
 - 20-40 Mbit/s for HDTV contribution (studio to studio)

MPEG System / Structured data –(1)

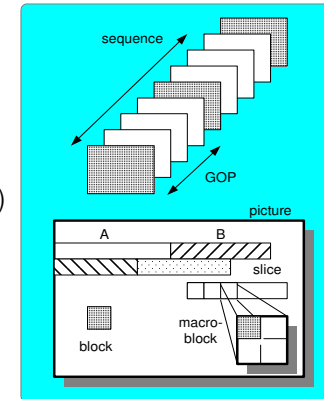
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- * **Structure of MPEG formatted data, applied codecs**
- * **System**
 - Multiplexing, packetizing of multiple compressed data streams
 - Synchronization and timing of individual data contributions
- * **Video coding using hybrid compression**
 - Motion compensation in the temporal domain
 - DCT coding in the spatial domain (in the image)
- * **Audio coding**
 - Subband coding at 64, 128, or 192 kbit/s
 - Audio subband masking of inaudible components

MPEG System / Structured data –(2)

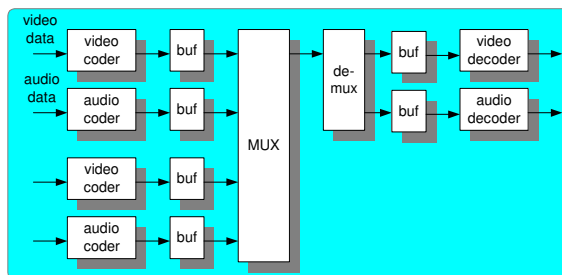
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- * **MPEG bit stream Structure**
- * **Division in layers**
 - Sequence layer
 - Group Of Pictures (GOP)
 - Picture layer
 - Slice layer
 - Macroblock layer
 - Block layer



MPEG System / Block diagram

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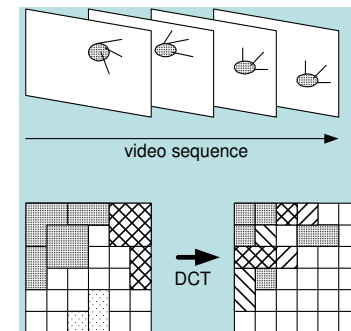


- * **MPEG System block diagram (Model has all necessary features)**
 - timing information of synchronous video and audio
 - timing of multiple MPEG-formatted data streams

MPEG Video Coding / Principles

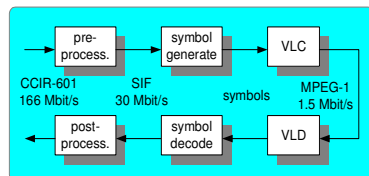
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- * **MPEG video exploits both spatial and temporal redundancy**
- * **Temporal redundancy**
 - Motion estimation
 - Motion compensation
- * **Spatial redundancy**
 - Block transformation
 - Variable-length coding



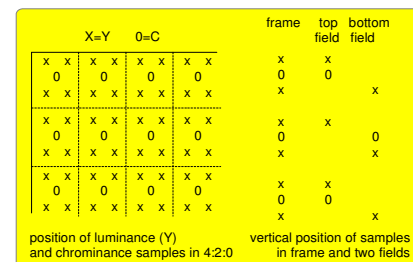
MPEG Video / MPEG-1 sampling – (1)

- * **MPEG-1 resampling is required**
 - target 1.5 Mbit/s too low
 - factor of 5 compression by SIF at 30 Mbit/s
- * **CCIR-601 (4:2:2 sampling)**
 - Y : 720x576 frame, 2:1 interlace, 50 Hz
 - U,V : 360x576 frame, id.
- * **SIF („2:1:0“ sampling)**
 - Y : 352x288 lines
 - U,V: 176x144 lines
 - 25 frame/s
 - 1:1 progressive



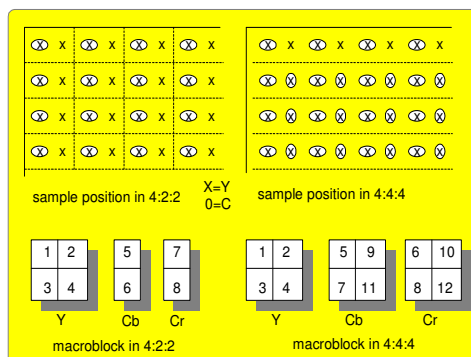
MPEG Video / MPEG-1 sampling – (2)

- * **MPEG sampling, mainly on 4:2:0**
 - MPEG-1: line sequential on frame basis
 - MPEG-2: line sequential also with interlaced fields



MPEG Video / MPEG-2 sampling

- * **Alternative sampling in MPEG-2**
 - 4:2:2, The CCIR-601 studio standard
 - 4:4:4, for High-quality RGB applications



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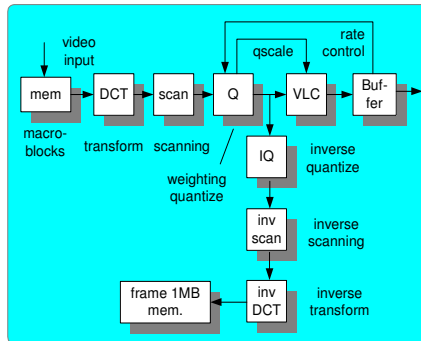
Intraframe MPEG-1/2 Video Coding Details

MPEG Video / Intraframe coding part

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* MPEG intraframe coder / decoder block diagram

- Local encoding
- Reconstruction for motion compensation
- Based 8x8 DCT, adapt. Quantization and 2-D VLC
- Feedback coding with rate buffer control



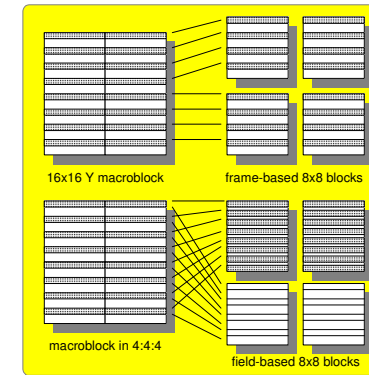
MPEG-2 Video / 8x8 DCT motion adaptivity

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Note: 1 frame = 2 fields (1 odd + 1 even)

* MPEG-2 accepts interlacing, thus requires motion-adaptive DCT

- Keep fixed 8x8 blocks in both modes
- Static 8x8 frame-based and moving 8x8 field-based blocks



MPEG Video / Quantization – (1)

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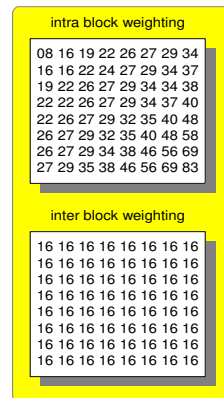
MPEG Quantization for inter- / intraframe data

* DC coefficient

- Human eye very sensitive for DC errors, thus **fixed** quantizer
- MPEG-1: $DCQ = DC / 8.0$ and inverse $DC = 8.0 \times DCQ$
- MPEG-2: higher DC precision 8-11 bits ($n \times DCQ$)

* AC coefficients

- Weighting $W(u,v)$ according to perception



MPEG Video / Quantization – (2)

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* AC coefficients (cont.)

- MPEG-1 encoder formula

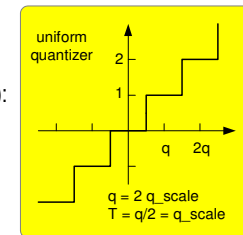
$$FQ(u,v) = 16 F(u,v) / (2 q_scale W(u,v))$$

- MPEG-1 decoder formula

$$F(u,v) = 2 (FQ(u,v) + k) q_scale W(u,v) / 16$$

- $k = 0$ for intrablocks, and $k = \text{sign}(FQ(u,v))$ for non-intra blocks
- **Mismatch control** (value closest to zero): if $F(u,v)$ even, then

$$F(u,v) = F(u,v) - \text{sign}(F(u,v))$$



MPEG Video / Quantization-(3) MPEG-2

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- * MPEG-2 has more precise quantization
- * DC coefficients: up to 11 bits precision
- * AC coefficients
 - MPEG-2 decoder formula

$$F(u,v) = 2 (FQ(u,v) + k) q_scale W(w,u,v) / 32$$
 - q_scale is mapped onto larger range than 0...31
 - w is defined by intra / non-intra and colour sampling
 - $k = 0$ for intrablocks, and $k = sign(Fq(u,v))$ for non-intra blocks
 - special additional mismatch control: $F(7,7) = F(7,7)$ if $SUM ac(F(u,v))$ is odd, and $F(7,7) = F(7,7) +/- 1$ if $F(7,7)$ is even/odd and SUM is even.

MPEG Video / Quantization-(4) MPEG-2

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MPEG-2 AC coefficients (cont.)

- * Extra adaptivity possibilities
 - Quantizer matrix $W(w,u,v)$ can be reloaded in frame header, giving **adaptive weighting** on sequence or application
 - q_scale Parameter can be modified on macroblock basis, enables smooth regulation of bit rate locally in the image
 - In any case, MPEG-2 different weighting for Y and C

MPEG Video / Quantization – (5)

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MPEG-2 AC coefficients

- * Larger range of q_scale by mapping of transmit code
 - Two characteristics: uniform and non-uniform
 - Non-uniform curve enables different control for low bit-rates

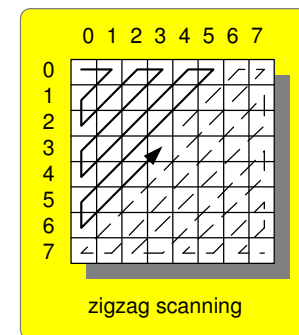
q_scale	quantize type=0	type=1
0	forbidden	1
1	2	1
2	4	2
3	6	3
4	8	4
5	10	5
6	12	6
7	14	7
8	16	8
9	18	10
10	20	12
11	22	14
12	24	16
13	26	18
14	28	20
15	30	22
16	32	24
17	34	26
18	36	28
19	38	30
20	40	32
21	42	34
22	44	36
23	46	38
24	48	40
25	50	42
...
31	62	112

MPEG Video / VLC Scanning – (1)

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* Scanning of transform coefficients (MPEG-1/2)

- Preprocessing step for variable-length coding
- Scanning functions reorders coefficients to cluster zeros for runlength coding
- Start with „low-frequency“ coefficients
- Fundamental scanning pattern is diagonal

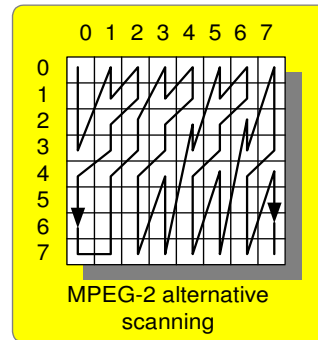


MPEG Video / VLC Scanning – (2)

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* MPEG-2 extension of scanning function (interlacing)

- Picture header extension: indicate the use of alternative scanning pattern (on picture basis)
- In case of quantizer matrix download: use always diagonal (zigzag) scanning



MPEG Video / Var. Length Coding – (1)

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* Variable-length coding of AC coefficients: algorithm of (runlength, amplitude) coding

- STEP 1: (load coefficient), test of coefficient is zero
- STEP 2: (update runlength), if zero coefficient, increment zero counter, go to STEP 4
- STEP 3: (jointly code), if non-zero coefficient, then
 - 3a. jointly code [runlength, amplitude] in one codeword
 - 3b. reset runlength counter
- STEP 4: (do next coefficient), go to STEP 1. If last coefficient, then go to STEP 5.
- STEP 5: (EOB) Terminate block with EOB-word, ignore runlength value. Codetable is modified Huffman code.

MPEG Video / Var. Length Coding – (2)

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* 2-D VLC table of codewords

1. Unlikely symbols are coded by [esc. code]+[fixed suffix]
2. Also VLC coding of macroblock address, motion vectors,...

zero run	amplitude →																				
	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
0	11	2	3	4	4	5	5	6	6	7	7	7	8	8	8	8	9	9	9	9	14
1	12	4	6	7	7	8	9	9	10	10	10	11	11	12	12	12	12	12	12	12	14
2	12	5	7	9	10	10	11	12	12	13											
3	12	6	8	10	12	12	13														
4	12	6	9	11	12																
5	12	7	10	11	12																
6	13	7	10	12																	
7	13	8	12	12																	
8	13	8	12																		
9	13	9	12																		
10	13	9	13																		

EOB = 4
Example of wordlength table

- MPEG-2 has alternative encoding table for intrablocks. For non-intra blocks, always the same table is used.

MPEG Video / Var. Length Coding – (3)

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* 2-D. VLC

Table of code words

- Special code for 1st coeff.
- Escape code to avoid long code words
- Appended sign bit

code	runlength	amplitude	code	runlength	amplitude
10	EOB		0010 0110s	0	5
1s (note2)	0	1	0010 0001s	0	6
11s (note3)	0	1	0010 0101s	1	3
011s	1	1	0010 0100s	3	2
0100s	0	2	0010 0111s	10	1
0101s	2	1	0010 0011s	11	1
0010 1s	0	3	0010 0010s	12	1
0011 1s	3	1	0010 0000s	13	1
0011 0s	4	1	0000 001010s	0	7
0001 10s	1	2	0000 001100s	1	4
0001 11s	5	1	0000 001011s	2	3
0001 01s	6	1	0000 001111s	4	2
0001 00s	7	1	0000 001001s	5	2
0000 110s	0	4	0000 001110s	14	1
0000 100s	2	2	0000 001101s	15	1
0000 111s	8	1	0000 001000s	16	1
0000 101s	9	1			
0000 01	escape	-			

Note 1: s=sign bit, 0=pos/1=neg.
Note 2: code for dct_coeff_first
Note 3: code for dct_coeff_next

MPEG Video / Var. Length Coding – (4)

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* VLC table for motion vectors

- Symmetrical
- Special code
- Appended sign bit except 0

VL code	motion code	VL code	motion code
0000 0011 001	-16	0000 0011 000	+16
0000 0011 011	-15	0000 0011 010	+15
0000 0011 101	-14	0000 0011 100	+14
0000 0011 111	-13	0000 0011 110	+13
0000 0100 001	-12	0000 0100 000	+12
0000 0100 011	-11	0000 0100 010	+11
0000 0100 11	-10	0000 0100 10	+10
0000 0101 01	-9	0000 0101 00	+9
0000 0101 11	-8	0000 0101 10	+8
0000 0111	-7	0000 0110	+7
0000 1001	-6	0000 1000	+6
0000 1011	-5	0000 1010	+5
0000 111	-4	0000 110	+4
0001 1	-3	0001 0	+3
0011	-2	0010	+2
011	-1	010	+1
1	0	1	0

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Interframe MPEG-1/2 Mot.-Comp. Video Coding

MPEG Video / Temporal Prediction – (1)

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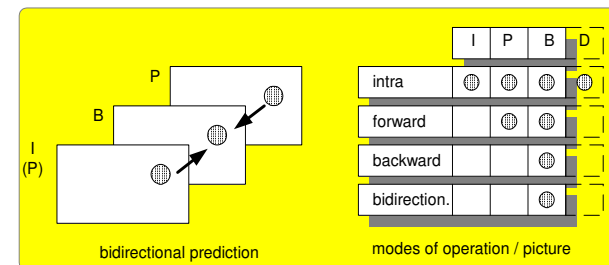
Temporal redundancy reduction

- * 1. By **single-sided** prediction
 - motion compensation should cover large area (due to intermediate B pictures)
 - fallback coding required (for excessive motion or uncovered background)
- * 2. **Bidirectional** motion compensation (interpolation)
 - assume linear interpolation of surrounding pictures
 - bidirectional prediction is more efficient than single-sided
 - more possibilities with uncovered objects
 - not used as reference for further coding: no error propagation in temporal coding

MPEG Video / Temporal Prediction – (2)

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Table of coding modes



MPEG Video / Quantizer inter block – (1)

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MPEG Quantization interframe data (predictive MBs)

* DC coefficients

- Differential DC coefficients
- Quantized and coded as AC coefficients

* AC coefficients

- MPEG-1 decoder formula
- $F(u,v) = 2 (QF(u,v) + k) q_scale W(u,v) / 16$
- $W(u,v) = 16$ default, but new matrix can be loaded
- $k = \text{sign}(QF(u,v))$ for inter-blocks
- Mismatch control: if $F(u,v)$ even $\Rightarrow F(u,v) = F(u,v) - \text{sign}(F(u,v))$ value closest to zero

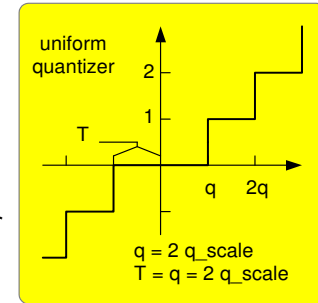
MPEG Video/ Quantizer inter-blocks – (2)

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MPEG Quantization interframe data (predictive MBs)

* AC coefficients (cont.) for MPEG-2

- MPEG-2 is more precise with normalization factor 32
- MPEG-2 special mismatch control
- Quantizer is uniform, but larger dead zone



MPEG Video / Coding modes P&B – (1)

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MPEG-1/2 coding modes for inter-coded images (P, B)

Predictive (P)	Bidirectional (B)
Motion, no motion	Forward, from past, Backward, from future, interpolated (from both sides)
Intra (fallback), or non-intra (regular case)	Intra (fallback), or non-intra (regular case)
Coded (regular), or not-coded (skipped block)	Coded (regular), or not-coded (skipped)
Default quantization, new q-scale	Default quantization, new q-scale

MPEG Video / Coding modes P&B – (2)

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MPEG-2 extensions for compensated coding modes

* Frame-based prediction (in both standards)

- Equal to MPEG-1 (16x16 compensation blocks)
- In a frame picture, either frame- or field-based prediction on MB level

* Field-based prediction (MPEG-2)

- Results from interlaced pictures

* 16x8 motion compensation (MPEG-2)

- Requires two motion vectors (1 for top- and 1 for bottom field)
- In B interlaced pictures, even 4 vectors can be used

MPEG Video / Modes for P&B MBs – (3)

MPEG-2 extensions for compensated coding modes

* **Special: Dual-prime prediction**

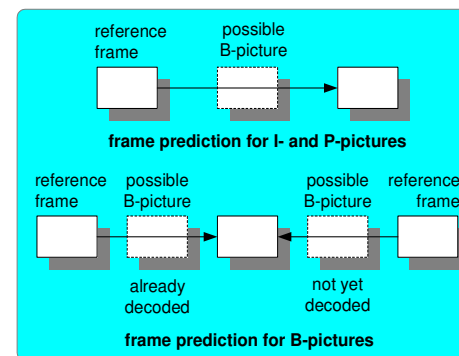
- 1 Motion Vector is coded in full resolution, 1 motion vector is a small differential vector (the dmV)
- **Field-based prediction:** 2 vectors are derived from this information. The obtained fields are averaged to get the final prediction
- **Frame-based pictures:** the averaging is done for both fields, yielding 4 field predictions.
- This mode is only used for P-pictures, without B-pictures in between.

MPEG Video / Modes for P&B MBs – (4)

MPEG-2 extensions for compensated coding modes

* **Frame-based prediction**

- 1 mv for P
- 2 mv for B

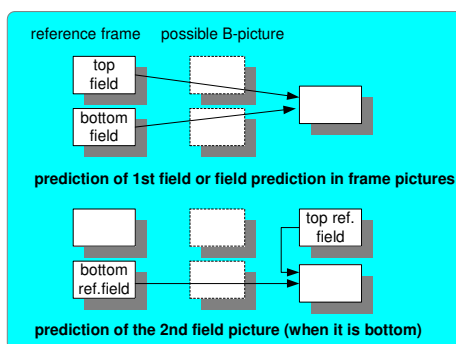


MPEG Video / Modes for P&B MBs – (5)

MPEG-2 extensions for compensated coding modes

* **Field-based prediction**

- 2 mv for field to frame for P
- 2 mv for field to field for full interlacing P

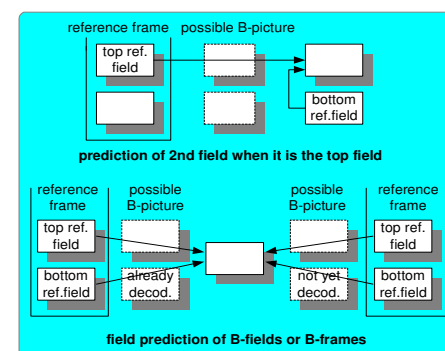


MPEG Video / Modes for P&B MBs – (6)

MPEG-2 extensions for compensated coding modes

* **Field-based prediction (continued)**

- 2 mv for P
- 4 mv for B



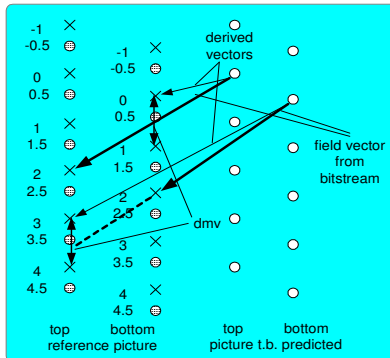
MPEG Video / Modes for P&B MBs – (7)

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MPEG-2 extensions for MC-coding

* Special field-based prediction: dual prime

- Main and **dmv** vector
- Scaling of vectors for dual prime prediction to field grid
- Better send one large vector and small one!

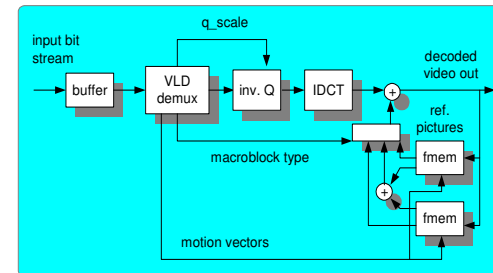


MPEG Video / Decoder structure

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* MPEG-2 Video decoder hardware

- MPEG strongly asymmetric, follows encoder decisions
- Decoder has no ME, only MC, saves factor 3-4 in complexity



MPEG Video / MPEG-1 core param'ts

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* MPEG Video core parameters, purpose

- guaranteed exchange of MPEG-coded data, which should be decodable on different systems
- also important: bounding of encoder complexity

* MPEG-1 Core parameters

- Pixels/line ≤ 720
- Lines/frame ≤ 576
- Frame rate ≤ 30 Hz
- Macroblock/picture ≤ 396
- Macroblock rate $\leq 396 \times 25$ Hz = 330×30 Hz = 9,900 Hz
- Bit rate ≤ 1.86 Mbit/s
- Buffer $\leq 376,832$ bits

MPEG / MPEG-2 Profiles & Levels – (1)

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* MPEG-2 Profiles / Levels

- Implementation of full specification of MPEG-2 too difficult
- Profiles serve as limited number of subsets of MPEG-2
- Bounding of encoder/decoder complexity => help industry!

* Profile

- **Limited subset of** entire bit stream **syntax**
- Different profiles support different features (applications)

* Level

- **Defined set of constraints** imposed **on the parameters** in the profile bit stream

MPEG / MPEG-2 Profiles & Levels – (2)

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- * MPEG-2 profiles / levels

- Example: **MP@ML**

- * Main Profile

- sampling 720 x 576, 4:2:0 standard
 - DCT based, frame/field DCT, frame/field MC, B frames

- * Simple profile

- no B pictures are used

- * Next profile

- scalability
 - 4:2:0 or 4:2:2 sampling

MPEG Video / MPEG-2 Profile Table

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Profile					
syntactic element	Simple	Main	SNR	Spatial	High
chroma format	4:2:0	4:2:0	4:2:0	4:2:0	4:2:0, 4:2:2
frame rate extens. n	0	0	0	0	0
frame rate extens. d	0	0	0	0	0
picture coding type	I, P	I, P, B	I, P, B	I, P, B	I, P, B
repeat first field	constrained	constrained	no constr.	no constr.	no constr.
sequence table extens.	No	No	Yes	Yes	Yes
scalable mode	-	-	SNR	SNR, spatial	SNR, spatial
spatial scalable extens.	No	No	No	Yes	Yes
intra dc precision	8,9,10	8,9,10	8,9,10	8,9,10	8,9,10,11
slice structure	restricted	restricted	restricted	restricted	restricted

MPEG Video / MPEG-2 Level Table

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Level				
syntactic element	Low	Main	High-1440	High
horizontal vector range	-512, +511.5	-1024, +1023.5	-2048, +2047.5	-2048, +2047.5
vertical vector rang (fra.)	-64, +63.5	-128, +127.5	-128, +127.5	-128, +127.5
vertical range (field)	-32, +31.5	-64, +63.5	-64, +63.5	-64, +63.5
max. sample / line	352	720	1440	1920
max. lines / frame	288	576	1152	1152
max. frame / second	30	30	60	60
Y sample rate (Msam/s)	3.041	10.368	47.002	62.669
max. bit rate (Mbit/s)	4	15	60	80
VBV buffer size (Mbit)	0.475	1.835	7.340	9.781